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TDANSMITTAL FORM		Application No.	10/83	10/830,212				
TRANSMITTAL FORM			Filing Date	April	21, 2004			
(to be used for all correspondence after initial filing)		First Named Inventor	Dae S	Sung MOON				
1		Art Unit						
			Examiner Name					
Total Number of	Pages in This Submission	on 8	Attorney Docket Number	r 2013	P162			
ENCLOSURES (check all that apply)								
Fee Transmittal	Form	Drawing(s))		After Allowance Communication to Group			
Fee Attac	hed	Licensing-r	elated Papers		Appeal Communication to Board of Appeals and Interferences			
Amendment / Re	esponse	Petition			Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)			
After Fina	dal /declaration(s)	Petition to C Provisional	Convert a Application		Proprietary Information			
Extension of Tim		Power of Attorney, Revocation Change of Correspondence Address			Status Letter			
Express Abandonment Request		Terminal Disclaimer			Other Enclosure(s) (please identify below):			
Information Disclosure Statement		Request for Refund			rior Art Reference (1) and			
PTO/SB/08		CD, Number of CD(s)			anslation; return postcard			
Certified Copy of Priority Document(s)								
Response to Mis	ssing Parts/ ication		<u> </u>					
Basic	c Filing Fee	Remarks						
Decla	aration/POA							
Response Parts und 1.52 or 1.5	e to Missing Ier 37 CFR 53							
	SIGNATUR	E OF APPLICAN	NT, ATTORNEY, OR A	GENT				
Firm	1 Die D. Hyman, Reg. 110, 30,137							
Individual name	or							
Signature								
Date 0 5/15/0-4								
	CERTIFI	CATE OF MAIL	ING/TRANSMISSION					
I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.								
Typed or printed n	ame Melissa Stea	d ,						
Signature	mel	in Sta	red	Date	5-25-04			

Based on PTO/SB/17 (10-03) as modified by Blakely, Solokoff, Taytor & Zafman (Mr) 02/10/2004. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

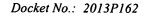
Effective 01/01/2004. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$)

Complete if Known			
Application Number	10/830,212		
Filing Date	April 21, 2004		
First Named Inventor	Dae Sung MOON		
Examiner Name			
Art Unit			
Attorney Docket No.	2013P162		

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)					
☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None		3. ADDITIONAL FEES				
Deposit Account	Large	Entity	Sma	ill Entity	<u>y</u>	
Deposit	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	FeePaid
Account Number 02-2666	1051	130	2051	65	Surcharge - late filing fee or oath	
Deposit Account D1 1 C 1 C 7 1 0 7 C 1 1 D	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
Name Blakely, Sokoloff, Taylor & Zafman LLP	2053	130	2053	130	Non-English specification	
The Commissioner is authorized to: (check all that apply)	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
Charge fee(s) indicated below Credit any overpayments	1804	920 *	1804	920	* Requesting publication of SIR prior to Examiner action	
Charge any additional fee(s) or underpayment of fees as required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.	1805	1,840 *	1805	1,840	* Requesting publication of SIR after Examiner action	
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account	4054	110	2054	55	Extension for reply within first month	
FEE CALCULATION	1251 1252	110 420	2251	210	Extension for reply within second month	
1. BASIC FILING FEE	1253	950	2253	475	Extension for reply within third month	
Large Entity Small Entity	1254	1,480	2254	740	Extension for reply within fourth month	
Fee	1255	2,010	2255	1,005	Extension for reply within fifth month	
1001 770 2001 385 Utility filing fee	1404	330	2401	165	Notice of Appeal	
1002 340 2002 170 Design filing fee	1402	330 290	2402	165	Filing a brief in support of an appeal	I
1003 530 2003 265 Plant filing fee	1403 1451	1,510	2403 2451	145	Request for oral hearing Petition to institute a public use proceeding	
1004 770 2004 385 Reissue filing fee 1005 160 2005 80 Provisional filing fee	1452	110	2452	55	Petition to revive - unavoidable	
	1453	1,330	2453	665	Petition to revive - unintentional	
	1501	1,330	2501	665	Utility issue fee (or reissue)	
2. EXTRA CLAIM FEES Extra Fee from Claims below FeePaid	1502	480	2502	240	Design issue fee	
Total Claims - 20° = X	1503 1460	640 130	2503 2460	320 130	Plant issue fee Petitions to the Commissioner	
Independent 3 X =	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
Multiple Dependent	1806	180	1806	180	Submission of Information Disclosure Stmt	
Large Entity Small Entity	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
Fee Fee Fee Fee Fee Description Code (\$)	1809	770	1809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3	1810	770	2810	385	For each additional invention to be	
- 1203 290 2203 145 Multiple Dependent claim, if not paid	1				examined (37 CFR § 1.129(b))	
1204 86 2204 43 **Reissue independent claims over original patent	1801	770	2801	385	Request for Continued Examination (RCE)	
1205 18 2205 9 **Reissue claims in excess of 20 and over	1802 Other fe	900 e (specify)	1802	900	Request for expedited examination of a design application	
original patent	Outer te	o (opcony)				
SUBTOTAL (2) (\$)	*Reduced	l by Basic F	iting Fee	Paid	SUBTOTAL (3) (\$)	
**or number previously paid, if greater, For Reissues, see below	<u> </u>					
SUBMITTED BY	/ Re	egistratio	n No	1	Complete (if applica	
Name (Print/Type) Eric S. Hyman		tofney/Age			30,139 Telephone (310) 207	/-3800
Signature	- ff	7			Date 5/15/0	59



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

DAE SUNG MOON, ET AL.

Application No.: 10/830,212

Filed: April 21, 2004

For: METHOD AND APPARATUS FOR IDENTIFYING A FINGERPRINT

Art Group:

Examiner:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed for applications filed after June 30, 2003). This IDS and IDS Citation Form are being submitted within three (3) months of filing of the application. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

-1-

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKODOPP, TAYLOR & ZAFMAN LLP

Eric S. Hyman, Reg. No. 30,139

12400 Wilshire Boulevard, 7th Floor Los Angeles, CA 90025 Telephone: (310) 207-3800

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Melissa Stead

Date

ubstitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet of

Complete if Known			
Application Number	10/830,212		
Filing Date	April 21, 2004		
First Named Inventor	Dae Sung MOON		
Art Unit			
Examiner Name			
Attorney Docket Number	2013P162		

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Examiner Initials* Cite No.1 Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, seri symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.					
		"A Hardware Implementation for Real-Time GH", M. Kim, et al., Biometrics Technology Research Team, Proceedings of the 19th KIPS Spring Conf.,	×			
,						

Examiner	•	Date	
Signature		Considered	

Based on PTO/SB/08B (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wir) 08/11/2003. Send To: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Applicant's unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.